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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Christoph Andreas ROTH and Harry T. HALL, IV

Application No.: 09/978,002

Group Art Unit: 3732

Filed: October 17, 2001

Examiner: To Be Assigned

For: BONE FIXATION SYSTEM

Attorney Docket No.: 8932-266

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

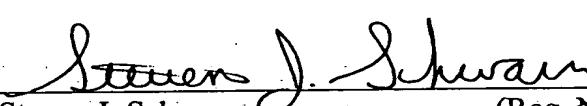
Pursuant to Applicants' duty of disclosure under 37 C.F.R. §§ 1.97 and 1.98, enclosed is a Form PTO-1449, which lists 56 references in reverse chronological order. Applicants hereby invite the Examiner's attention to the references listed on the enclosed Form PTO-1449.

As this statement is being submitted before the first Office Action or within three months of the filing date, no fee is believed to be due. Should any fees be required, however, please charge such fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

January 2, 2002

For:


Steven J. Schwarz
Brian M. Rothery

(Reg. No. 47,070)
(Reg. No. 35,340)

PENNIE & EDMONDS LLP
1667 K Street, N.W.
Washington, D.C. 20006
(202) 496 - 4400

Enclosures

LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO.	APPLICATION NO.
	8932-266-999	09/978,002
	APPLICANT	Christoph Andreas ROTH and Harry T. HALL, IV
	FILING DATE	GROUP
	October 17, 2001	3732

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,976,139	11/2/99	Bramlet	606	066	
AB	5,928,235	7/27/99	Friedl	606	064	
AC	5,908,422	6/1/99	Bresina	606	067	
AD	5,772,662	6/30/98	Chapman et al.	606	069	
AE	5,741,256	4/21/98	Bresina	606	062	
AF	5,728,099	3/17/98	Tellman et al.	606	065	
AG	5,713,902	2/3/98	Friedl	606	064	
AH	5,658,339	8/19/97	Tronzo et al.	623	018	
AI	5,591,168	1/7/97	Judet et al.	606	065	
AJ	5,578,035	11/26/96	Lin	606	068	
AK	5,484,439	1/16/96	Olson et al.	606	065	
AL	5,454,813	10/3/95	Lawes	606	062	
AM	5,364,398	11/15/94	Chapman et al.	606	069	
AN	5,312,406	5/17/94	Brumfield	606	064	
AO	5,300,074	4/5/94	Frigg	128	067	
AP	5,176,681	1/5/93	Lawes et al.	606	064	
AQ	5,167,663	12/1/92	Brumfield	606	064	
AR	5,041,114	8/20/91	Chapman et al.	606	062	
AS	5,032,125	7/16/91	Durham et al.	606	062	
AT	4,973,332	11/27/90	Kummer	606	065	
AU	4,791,918	12/20/88	Von Hasselbach	128	924	
AV	4,776,330	10/11/88	Chapman et al.	128	092	
AW	4,697,585	10/6/87	Williams	128	092	
AX	4,657,001	4/14/87	Fixel	128	092	
AY	4,622,959	11/18/86	Marcus	128	092	
AZ	4,621,628	11/11/86	Brudermann	128	092	
BA	4,612,920	9/23/86	Lower	128	092	
BB	4,494,535	1/22/85	Haig	128	092	
BC	4,438,762	3/27/84	Kyle	128	092	
BD	4,274,163	6/23/81	Malcom et al.	3	1.91	
BE	4,172,452	10/30/79	Forte et al.	128	092	
BF	4,103,683	8/1/78	Neufeld	128	092	

	BG	4,095,591	6/20/78	Graham, Jr. et al.	128	092	
	BH	3,433,220	3/18/69	Zickel	128	092	
	BI	3,255,747	6/14/66	Cochran et al.	128	092	
	BJ	2,834,342	5/13/58	Yost	128	092	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION	
							YES	NO
	BK	JP 2000-51224	2/22/2000	Japan	A61B	17/58	ABS	
	BL	JP 2000-51225	2/22/2000	Japan	A61B	17/58	ABS	
	BM	EP 0 968 685 A2	6/24/99	Europe	A61B	17/74	ABS	
	BN	EP 0 919 200 A1	6/2/99	Europe	A61B	17/76	ABS	
	BO	JP 11-137566	5/25/99	Japan	A61B	17/58	ABS	
	BP	EP 0 853 923 A1	7/22/98	Europe	A61B	17/74		
	BQ	WO 98/30164	7/16/98	PCT	A61B	17/74		
	BR	EP 0 845 245 A2	6/3/98	Europe	A61B	17/72		
	BS	WO 98/41161	9/24/98	PCT	A61B	17/72		
	BT	EP 0 838 199 A1	4/29/98	Europe	A61B	17/74		
	BU	WO 98/05263	2/12/98	PCT	A61B	17/74		
	BV	JP 9-66061	3/11/97	Japan	A61B	17/58	ABS	
	BW	JP 9-66060	3/11/97	Japan	A61B	17/58	ABS	
	BX	JP 9-66059	3/11/97	Japan	A61B	17/58	ABS	
	BY	WO 97/37606	10/16/97	PCT	A61B	17/72		
	BZ	WO 96/15737	5/30/96	PCT	A61F	02/36	ABS	
	CA	EP 0 471 418 A1	2/19/92	Europe	A61B	17/58		
	CB	EP 0 411 273 A1	2/6/91	Europe	A61B	17/56		
	CC	EP 0 321 170 B1	6/21/89	Europe	F25D	17/08	ABS	
	CD	EP 0 251 583 A2	1/7/88	Europe	A61B	17/58		

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Christoph Andreas ROTH *et al.*

Confirmation No.: 3810

Serial No.: 09/978,002

Art Unit: 3732

Filed: October 17, 2001

Examiner: To be assigned

For: BONE FIXATION SYSTEM

Atty Docket No.: 8932-266-999

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to the five (5) references listed on the attached revised form PTO-1449 entitled "List of References Cited by Applicant." Copies of these references are being submitted herewith.

These references were cited in the International Search Report of a related PCT application that was mailed to Applicants on May 9, 2003.

It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the attached revised Form PTO-1449.

No fee is believed to be due for this submission, as this submission is being made before a first Office Action on the merits. Should any fees be required, however, please charge such fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date: June 13, 2003

For:


Steven J. Schwarz (Reg. No. 47,070)
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Sheet 1 of 1

LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO.	APPLICATION NO
	8932-266-999	09/978,002
	APPLICANT	
	C. ROTH et al.	
	FILING DATE	GROUP
	October 17, 2001	3732

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A01		5,120,171	9/06/92	LASNER			
A02							
A03							
A04							
A05							
A06							
A07							
A08							
A09							

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	NO
	A10	GB 2209947 A	6/1/89	Great Britain				X	
	A11	JP 2000342596	12/12/00	Japan					Abst.
	A12	JP 2000051224	2/22/00	Japan					Abst.
	A13	WO 0067653	11/16/00	PCT					X
	A14								

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

A15	
A16	
A17	

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.